

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum) LINO732PWO

Box No. I TITLE OF INVENTION Hip prosthesis comprising a shaft to be fixed in the medullary canal of the femur	
Box No. II APPLICANT <input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  WALDEMAR LINK GmbH & Co. KG Barkhausenweg 10 D-22339 Hamburg DE	Telephone No.  Facsimile No.  Teleprinter No.  Applicant's registration No. with the Office
State (that is, country) of nationality: DE	State (that is, country) of residence: DE
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  Keller, Arnold An der Naherfurth 5 D-23863 Kayhude DE	This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office
State (that is, country) of nationality: DE	State (that is, country) of residence: DE
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)  Glawe, Delfs, Moll - Patent Attorneys and Attorneys at Law Rothenbaumchaussee 58 D-20148 Hamburg DE	Telephone No. ++49 40 4142910 Facsimile No. ++49 40 41429166 Teleprinter No.  Agent's registration No. with the Office
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

<b>Box No. V DESIGNATIONS</b>										
<p>The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents. However,</p> <p><input type="checkbox"/> DE Germany is not designated for any kind of national protection</p> <p><input type="checkbox"/> KR Republic of Korea is not designated for any kind of national protection</p> <p><input type="checkbox"/> RU Russian Federation is not designated for any kind of national protection</p> <p><i>(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the Notes to Box No. V as to the consequences of such national law provisions in these and certain other States.)</i></p>										
<b>Box No. VI PRIORITY CLAIM</b>										
The priority of the following earlier application(s) is hereby claimed:										
Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:								
		national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office						
item (1) 17. Jan. 2003 (17.01.2003)	03001040.9		EP							
item (2) 16. July 2003 (16.07.2003)	03016156.6		EP							
item (3)										
<input type="checkbox"/> Further priority claims are indicated in the Supplemental Box.										
<p>The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) <i>(only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office)</i> identified above as:</p> <p> <input type="checkbox"/> all items                <input checked="" type="checkbox"/> item (1)                <input checked="" type="checkbox"/> item (2)                <input type="checkbox"/> item (3)                <input type="checkbox"/> other, see Supplemental Box         </p> <p><i>* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)): . . . .</i></p>										
<b>Box No. VII INTERNATIONAL SEARCHING AUTHORITY</b>										
<p>Choice of International Searching Authority (ISA) <i>(if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</i></p> <p>ISA / .EP. . . . .</p> <p>Request to use results of earlier search; reference to that search <i>(if an earlier search has been carried out by or requested from the International Searching Authority):</i></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">Date (day/month/year)</td> <td style="width: 33%;">Number</td> <td style="width: 33%;">Country (or regional Office)</td> </tr> <tr> <td>22/05/2003</td> <td>03001040.9</td> <td>EPO-Den Haag</td> </tr> </table>					Date (day/month/year)	Number	Country (or regional Office)	22/05/2003	03001040.9	EPO-Den Haag
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22/05/2003	03001040.9	EPO-Den Haag								
<b>Box No. VIII DECLARATIONS</b>										
<p>The following declarations are contained in Boxes Nos. VIII (i) to (v) <i>(mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):</i></p>				Number of declarations						
<input checked="" type="checkbox"/> Box No. VIII (i)	Declaration as to the identity of the inventor	:	1							
<input checked="" type="checkbox"/> Box No. VIII (ii)	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	:	1							
<input type="checkbox"/> Box No. VIII (iii)	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	:								
<input type="checkbox"/> Box No. VIII (iv)	Declaration of inventorship (only for the purposes of the designation of the United States of America)	:								
<input type="checkbox"/> Box No. VIII (v)	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	:								

Box No. VIII (i) DECLARATION: IDENTITY OF THE INVENTOR

*The declaration must conform to the standardized wording provided for in Section 211: see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (i). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the identity of the inventor (Rules 4.17(i) and 51 bis.1(a)(i)):

in relation to this international application

(i) Keller, Arnold, of  
An der Naherfurth 5  
D-23863 Kayhude  
Germany

is the inventor of the subject matter for which protection is sought by way  
of this international application

(ii) This declaration is made for the purposes of:  
(a) all designations (except the designation of the United States of America)

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII (i)".

Box No. VIII (ii) DECLARATION: ENTITLEMENT TO APPLY FOR AND BE GRANTED A PATENT

*The declaration must conform to the standardized wording provided for in Section 212: see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (ii). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51 bis. 1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:

in relation to this international application

WALDEMAR LINK GmbH & Co. KG is entitled to apply for and be granted a patent by virtue of the following:

- (ii) WALDEMAR LINK GmbH & Co. KG is entitled as employer of the inventor Arnold Keller
- (ix) This declaration is made for the purposes of:
  - (a) all designations (except the designation of the United States of America)

☐ This declaration is continued on the following sheet, "Continuation of Box No. VIII (ii)".

Box No. IX CHECK LIST; LANGUAGE OF FILING																																																							
<p>This international application contains:</p> <p>(a) in paper form, the following number of sheets:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">request (including declaration sheets) :</td> <td style="width: 20%; text-align: right;">5</td> </tr> <tr> <td>description (excluding sequence listing and/or tables related thereto) :</td> <td style="text-align: right;">11</td> </tr> <tr> <td>claims :</td> <td style="text-align: right;">4</td> </tr> <tr> <td>abstract :</td> <td style="text-align: right;">1</td> </tr> <tr> <td>drawings :</td> <td style="text-align: right;">1</td> </tr> <tr> <td><b>Sub-total number of sheets</b> :</td> <td style="text-align: right;"><b>22</b></td> </tr> <tr> <td>sequence listing :</td> <td></td> </tr> <tr> <td>tables related thereto :</td> <td></td> </tr> <tr> <td colspan="2"><i>(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)</i></td> </tr> <tr> <td><b>Total number of sheets</b> :</td> <td style="text-align: right;"><b>22</b></td> </tr> </table> <p>(b) <input type="checkbox"/> only in computer readable form (Section 801(a)(i))</p> <p style="margin-left: 20px;">(i) <input type="checkbox"/> sequence listing</p> <p style="margin-left: 20px;">(ii) <input type="checkbox"/> tables related thereto</p> <p>(c) <input type="checkbox"/> also in computer readable form (Section 801(a)(ii))</p> <p style="margin-left: 20px;">(i) <input type="checkbox"/> sequence listing</p> <p style="margin-left: 20px;">(ii) <input type="checkbox"/> tables related thereto</p> <p>Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the</p> <p><input type="checkbox"/> sequence listing: .....</p> <p><input type="checkbox"/> tables related thereto: .....</p> <p><i>(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)</i></p>	request (including declaration sheets) :	5	description (excluding sequence listing and/or tables related thereto) :	11	claims :	4	abstract :	1	drawings :	1	<b>Sub-total number of sheets</b> :	<b>22</b>	sequence listing :		tables related thereto :		<i>(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)</i>		<b>Total number of sheets</b> :	<b>22</b>	<p>This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1. <input checked="" type="checkbox"/> fee calculation sheet</td> <td style="width: 20%; text-align: right;">1</td> </tr> <tr> <td>2. <input type="checkbox"/> original separate power of attorney</td> <td style="text-align: right;">:</td> </tr> <tr> <td>3. <input type="checkbox"/> original general power of attorney</td> <td style="text-align: right;">:</td> </tr> <tr> <td>4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: .....</td> <td style="text-align: right;">:</td> </tr> <tr> <td>5. <input type="checkbox"/> statement explaining lack of signature</td> <td style="text-align: right;">:</td> </tr> <tr> <td>6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): .....</td> <td style="text-align: right;">:</td> </tr> <tr> <td>7. <input type="checkbox"/> translation of international application into (language): .....</td> <td style="text-align: right;">:</td> </tr> <tr> <td>8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material</td> <td style="text-align: right;">:</td> </tr> <tr> <td>9. <input type="checkbox"/> sequence listing in computer readable form (indicate type and number of carriers)</td> <td style="text-align: right;">:</td> </tr> <tr> <td style="margin-left: 20px;">(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) :</td> <td style="text-align: right;">:</td> </tr> <tr> <td style="margin-left: 20px;">(ii) <input type="checkbox"/> (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter :</td> <td style="text-align: right;">:</td> </tr> <tr> <td style="margin-left: 20px;">(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing mentioned in left column :</td> <td style="text-align: right;">:</td> </tr> <tr> <td>10. <input type="checkbox"/> tables in computer readable form related to sequence listing (indicate type and number of carriers)</td> <td style="text-align: right;">:</td> </tr> <tr> <td style="margin-left: 20px;">(i) <input type="checkbox"/> copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application) :</td> <td style="text-align: right;">:</td> </tr> <tr> <td style="margin-left: 20px;">(ii) <input type="checkbox"/> (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater) :</td> <td style="text-align: right;">:</td> </tr> <tr> <td style="margin-left: 20px;">(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column :</td> <td style="text-align: right;">:</td> </tr> <tr> <td>11. <input checked="" type="checkbox"/> other (specify): <b>Cheque, Search Report</b></td> <td style="text-align: right;">:</td> </tr> </table>	1. <input checked="" type="checkbox"/> fee calculation sheet	1	2. <input type="checkbox"/> original separate power of attorney	:	3. <input type="checkbox"/> original general power of attorney	:	4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: .....	:	5. <input type="checkbox"/> statement explaining lack of signature	:	6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): .....	:	7. <input type="checkbox"/> translation of international application into (language): .....	:	8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material	:	9. <input type="checkbox"/> sequence listing in computer readable form (indicate type and number of carriers)	:	(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) :	:	(ii) <input type="checkbox"/> (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter :	:	(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing mentioned in left column :	:	10. <input type="checkbox"/> tables in computer readable form related to sequence listing (indicate type and number of carriers)	:	(i) <input type="checkbox"/> copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application) :	:	(ii) <input type="checkbox"/> (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater) :	:	(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column :	:	11. <input checked="" type="checkbox"/> other (specify): <b>Cheque, Search Report</b>	:
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Figure of the drawings which should accompany the abstract: 1	Language of filing of the international application: German																																																						
<p><b>Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE</b></p> <p><i>Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).</i></p> <div style="border: 1px solid black; height: 80px; margin-top: 10px; display: flex; align-items: center; justify-content: center; text-align: center;"> <p style="font-size: 1.2em;">Hamburg, 13.01.2004</p> </div> <div style="margin-top: 20px;"> <p>(Delfs)</p> </div>																																																							

For receiving Office use only	
1. Date of actual receipt of the purported international application:	2. Drawings: <input type="checkbox"/> received:  <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

For International Bureau use only
Date of receipt of the record copy by the International Bureau:

Blatt Nr. ....

Feld Nr. VIII (iv) **ERKLÄRUNG: ERFINDERERKLÄRUNG** (nur im Hinblick auf die Bestimmung der Vereinigten Staaten von Amerika)

*Die Erklärung muß dem in Abschnitt 214 vorgeschriebenen Wortlaut entsprechen; siehe Anmerkungen zu den Feldern VIII, VIII (i) bis (v) (allgemein) und insbesondere die Anmerkungen zum Feld Nr. VIII (iv). Wird dieses Feld nicht benutzt, so sollte dieses Blatt dem Antrag nicht beigelegt werden.*

**Erfindererklärung (Regeln 4.17 Ziffer iv und 51 bis 1 Absatz a Ziffer iv)  
im Hinblick auf die Bestimmung der Vereinigten Staaten von Amerika:**

Ich erkläre hiermit an Eides Statt, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Erfinder angegeben ist) oder Miterfinder (falls nachstehend mehr als ein Erfinder angegeben ist) des beanspruchten Gegenstandes bin, für den ein Patent beantragt wird.

Diese Erklärung wird im Hinblick auf und als Teil dieser internationalen Anmeldung abgegeben (falls die Erklärung zusammen mit der Anmeldung eingereicht wird).

Diese Erklärung wird im Hinblick auf die internationale Anmeldung Nr. PCT/... EP.04/00224 ..... abgegeben (falls diese Erklärung nach Regel 26ter eingereicht wird).

Ich erkläre hiermit an Eides Statt, daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den neben meinem Namen aufgeführten Angaben entsprechen.

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen internationalen Anmeldung, einschließlich ihrer Ansprüche, durchgesehen und verstanden habe. Ich habe im Antragsformular dieser internationalen Anmeldung gemäß PCT Regel 4.10 sämtliche Auslandsanmeldungen angegeben und habe nachstehend unter der Überschrift "Frühere Anmeldungen", unter Angabe des Aktenzeichens, des Staates oder Mitglieds der Welthandelsorganisation, des Tages, Monats und Jahres der Anmeldung, sämtliche Anmeldungen für ein Patent bzw. eine Erfinderurkunde in einem anderen Staat als den Vereinigten Staaten von Amerika angegeben, einschließlich aller internationalen PCT-Anmeldungen, die wenigstens ein anderes Land als die Vereinigten Staaten von Amerika bestimmen, deren Anmeldetag dem der Anmeldung, deren Priorität beansprucht wird, vorangeht.

Frühere Anmeldungen: .. Europ. Patentanm. 03001040.9 vom 17.01.2003  
..... Europ. Patentanm. 03016156.6 vom 16.07.2003 .....

Ich erkenne hiermit meine Pflicht zur Offenbarung jeglicher Informationen an, die nach meinem Wissen zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, § 1.56 von Belang sind, einschließlich, im Hinblick auf Teilfortsetzungsanmeldungen, Informationen, die im Zeitraum zwischen dem Anmeldetag der früheren Patentanmeldung und dem internationalen PCT-Anmeldedatum der Teilfortsetzungsanmeldung bekannt geworden sind.

Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachten Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner, daß ich diese eidesstattliche Erklärung in Kenntnis dessen ablege, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß § 1001, Title 18 des US-Codes strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

Name: ..... Arnold Keller .....

Wohnsitz: ..... Kayhude / Deutschland .....

Postanschrift: ..... An der Naherfurth 5  
23863 Kayhude / Deutschland .....

Staatsangehörigkeit: ..... deutsch .....

Unterschrift des Erfinders: ..... Arnold Keller .....  
(falls nicht bereits das Antragsformular unterschrieben wird oder falls die Erklärung nach Einreichung der internationalen Anmeldung nach Regel 26ter berichtigt oder hinzugefügt wird. Die Unterschrift muß die des Erfinders sein, nicht die des Anwalts)

Datum: ..... 04-01-16 .....  
(der Unterschrift, falls das Antragsformular nicht unterschrieben wird oder der Erklärung, die nach Regel 26ter nach Einreichung der internationalen Anmeldung berichtigt oder hinzugefügt wird)

Name: .....

Wohnsitz: .....  
(Stadt und US-Staat, falls anwendbar, sonst Land)

Postanschrift: .....

Staatsangehörigkeit: .....

Unterschrift des Erfinders: .....  
(falls nicht bereits das Antragsformular unterschrieben wird oder falls die Erklärung nach Einreichung der internationalen Anmeldung nach Regel 26ter berichtigt oder hinzugefügt wird. Die Unterschrift muß die des Erfinders sein, nicht die des Anwalts)

Datum: .....  
(der Unterschrift, falls das Antragsformular nicht unterschrieben wird oder der Erklärung, die nach Regel 26ter nach Einreichung der internationalen Anmeldung berichtigt oder hinzugefügt wird)

☐ Diese Erklärung wird auf dem folgenden Blatt fortgeführt, "Fortsetzungsblatt für Feld Nr. VIII (iv)".